



(12) EUROPEAN PATENT APPLICATION

(43) Date of publication:
31.07.2002 Bulletin 2002/31

(51) Int Cl.7: G06F 9/445

(21) Application number: 02000960.1

(22) Date of filing: 16.01.2002

(84) Designated Contracting States:
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE TR
Designated Extension States:
AL LT LV MK RO SI

(72) Inventors:
• Vargas, Garret R.
Kirkland, WA 98034 (US)
• Shen, Alan W.
Seattle, WA 98103 (US)

(30) Priority: 25.01.2001 US 768587

(74) Representative: Grünecker, Kinkeldey,
Stockmair & Schwanhäusser Anwaltssozietät
Maximilianstrasse 58
80538 München (DE)

(71) Applicant: MICROSOFT CORPORATION
Redmond, Washington 98052-6399 (US)

(54) SIM manager API

(57) A SIM Manager is disclosed that accesses functionality contained within a GSM-type telephone SIM by combining functionality of a plurality of asynchronous RIL functions into a single synchronous application programming interface. A first dynamic link library receives a function call for performing a selected function from an application operating in a GSM-type telephone device. The first dynamic link library is preferably a stub dynamic link library to which applications link, links to the application and initiates a process thread corresponding to the received function call, thereby blocking all subsequently received calls until the received function call for the selected function is complete. A second

dynamic link library contains at least one application programming interface corresponding to the selected function. Each application programming interface corresponds to the selected function within the second dynamic link library links to a radio interface layer of the GSM-type telephone device for performing the selected function. The second dynamic link library is loaded by the first dynamic link library when the first dynamic link library receives the function call. The second dynamic link library initiates a process thread corresponding to the received function call and blocks all subsequently received calls until the received function call for the selected function is complete.

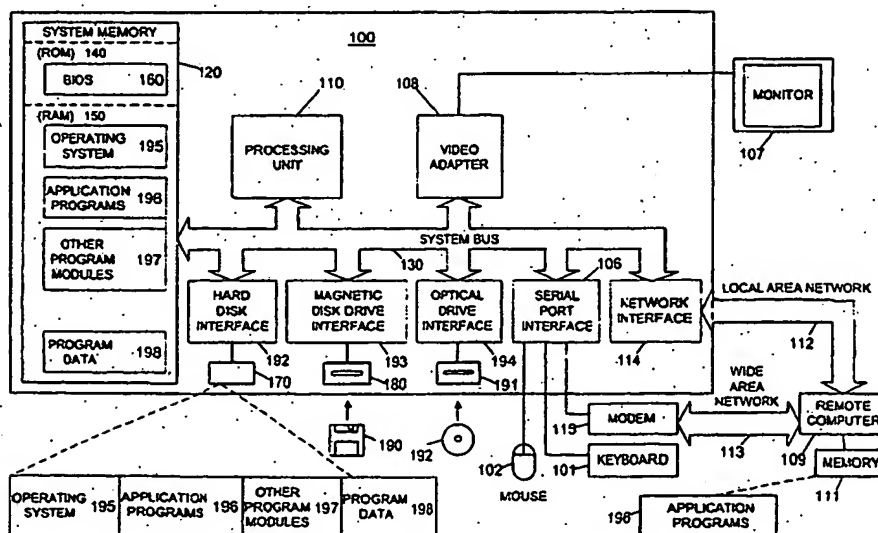


FIG. 1 (PRIOR ART)

storage device 111 has been illustrated in Figure 1. The logical connections depicted in Figure 1 include a local area network (LAN) 112 and a wide area network (WAN) 113. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets and the Internet.

[0015] When used in a LAN networking environment, computer 100 is connected to local network 112 through a network interface or adapter 114. When used in a WAN networking environment, personal computer 100 typically includes a modem 115 or other device for establishing a communications over wide area network 113, such as the Internet. Modem 115, which may be internal or external, is connected to system bus 130 via serial port interface 106. In a networked environment, program modules depicted relative to personal computer 100, or portions thereof, may be stored in the remote memory storage device.

[0016] It will be appreciated that the network connections shown are exemplary and other techniques for establishing a communications link between the computers can be used. The existence of any of various well-known protocols, such as TCP/IP, Ethernet, FTP, HTTP and the like, is presumed, and the system can be operated in a client-server configuration to permit a user to retrieve web pages from a web-based server. Any of various conventional web browsers can be used to display and manipulate data on web pages.

[0017] A primary aspect of the invention is an API (application programming interface) set within a SIM Manager API structure that provides access to functionality contained within a GSM-type telephone SIM card, such as access to phonebook entries, passwords, file access and message storage. Additionally, the SIM Manager API structure of the present invention combines functionality of several asynchronous functions into a single synchronous API so that the SIM state is not reset beneath the SIM Manager, thereby allowing information to be safely read or written the SIM card without the possibility of another application invalidating the results. Additionally, applications calling the API set of the present invention are not required to have specific knowledge regarding conventional RIL APIs.

[0018] The present invention includes a set of two Dynamic Link Libraries (DLLs) that provide APIs for accessing SIM functionality and notifies a calling application when the state of the elements contained in the SIM card change. The first DLL is a stub DLL (simmgr.dll) that is a small DLL to which applications link. The first DLL loads a second DLL (smgreal.dll) that includes an implementation of APIs for accessing SIM functionality and for providing notifications. The two-DLL architecture provides that when a cellular phone does not have a SIM card, such as a conventional CDMA-type phone, the second DLL can be removed and the ROM size significantly reduced without adversely impacting applications using the SIM Manager.

[0019] The API set of the present invention can be di-

vided into the following five classes of APIs:

- 1) Phone book APIs for reading and writing phone-book entries on the SIM;
- 2) Locking APIs for locking and unlocking the phone, and changing the SIM password;
- 3) Message APIs for reading and writing text SMS messages on the SIM card;
- 4) Record APIs for reading and writing records (or files) on the SIM card; and
- 5) Miscellaneous APIs for Initializing SIM Manager and determining the capabilities of the SIM card.

[0020] A calling application can also request to be notified whenever the status of the SIM card changes roughly corresponding to the five classes of APIs. For example, notifications can be sent to an application whenever a SIM phonebook entry is changed, whenever an SMS message has changed, etc.

[0021] To illustrate the present invention, Figure 2 shows an exemplary signal flow diagram 200 between selected layers of a GSM-type telephone device having a SIM card for reading a phonebook entry according to the present invention. Figure 2 shows the structural relationship between an application layer 201, the SIM Manager API 202 of the present invention, and a Radio Interface Layer (RIL) 203. SIM Manager 202 includes the stub DLL (simmgr.dll) 204 and second DLL (smgreal.dll) 205. Before application 201 can access the SIM Manager APIs of the present invention, application 201 must call SimInitialize, as follows:

```
HRESULT SimInitialize(DWORD dwFlags, SIM-
CALLBACK lpfnCallBack, DWORD dwParam,
LPHSIM lpHsim)
```

[0022] SimInitialize provides a callback function flag (lpfnCallBack) that is used for indicating that notification is desired when the SIM state changes. A dwParam parameter can also be specified that is passed to the callback function. When notifications are not desired, dwFlags should be set to 0, and the second and third parameters of SimInitialize are ignored. lpHsim is an out parameter that gets set with a handle to an HSIM when SIM Manager 202 is successfully initialized.

[0023] When application 201 calls the SimInitialize API, the first DLL (simmgr.dll) 204 attempts to load the second DLL (smgreal.dll) 205. In the situation when simmgr.dll 204 is unable to load smgreal.dll 205, simmgr.dll 204 immediately returns an error code SIM_E_NOSIM to application 201. When simmgr.dll 204 is able to load smgreal.dll 205, simmgr.dll 204 calls into smgreal.dll 205 to perform the actual initialization operation. Second DLL smgreal.dll 205 initializes RIL 203 and obtains an HRIL handle for making subsequent RIL calls. The initialization function also creates a globally named event handle that is used for synchronizing SIM Manager calls, as described below.

[0024] In the situation when an application desires to receive callback notifications, a second thread is set up that is able to dispatch the notifications to the calling application. A second thread is necessary so that the calling application does not block other processes while waiting in a callback function. Most notifications are received directly from RIL 203, but the present invention also provides a file refresh notification that is received from a SIM toolkit process when the SIM supports SIM toolkit applications (not shown). When the SIM supports SIM toolkit applications, the present invention registers with the SIM Toolkit process so that file refresh notifications will be received.

[0025] After SimInitialize has been successfully called, the SimReadPhonebookEntry API is called for reading a phonebook entry, as shown at 210 in Figure 2. The SimReadPhoneBookEntry API is defined as follows:

HRESULT SimReadPhonebookEntry (HSIM hSim, DWORD dwlocation, DWORD dwIndex, LPSIM_PHONEBOOKENTRY lpPhonebookEntry)

[0026] The SimReadPhonebookEntry includes a HSIM parameter that is used for passing the HSIM received from the SimInitialize call. The dwlocation parameter is used for passing a location corresponding to the SIM phonebook location that is to be accessed. The dwIndex parameter is used for passing an index pointing to an index within the phonebook location. The lpPhonebookEntry is an out parameter that is filled in with the phonebook entry.

[0027] The SimReadPhonebookEntry API first attempts at 211 to obtain a globally named event handle that was created during the SimInitialize function, thereby ensuring that no two processes on the system (i.e., another application) will be able to call into a SIM Manager API at the same time. In the event that two processes are calling APIs at the same time, one of the calling processes will block until the other process has completed and released the event for the other process to continue.

[0028] When a global named event handle has been obtained, the current phonebook storage location is determined. The SIM Manager of the present invention is able to monitor of the current phonebook storage location because the SIM Manager receives notifications from RIL whenever the storage location has changed by any process on the system. When SIM Manager 202 has not yet received this particular notification (because the location has not been changed), or the location is different than the one that the calling application has requested, SIM Manager 202 calls the RIL function RIL_SetPhonebookStorageLocation, as shown at 212. Because this particular RIL function is an asynchronous API, SIM Manager 202 waits on a second event at 213 so that the process is blocked until RIL 203 has returned a result. Preferably, the present invention stores the cur-

rent phonebook storage location and checks the current phonebook storage location before first calling this asynchronous RIL API is purely for performance reliability reasons. The present invention can, alternatively, blindly call the RIL_SetPhonebookStorageLocation RIL API without a check.

[0029] After SIM Manager 202 has received confirmation from RIL 203 that the phonebook storage location has changed to the desired phonebook, the RIL_ReadPhonebookEntry API is called at 214. An important aspect about the RIL_ReadPhonebookEntry API is that only an index is needed, not a storage location, because this API reads from the currently selected storage location. Because SIM Manager 202 has just set the storage location (or verified the storage location is set to the desired storage location), and because all processes must access the SIM via the SIM Manager APIs, thereby blocking any other processes calling SIM Manager 202 until the current API is complete, the present invention is able to guarantee that the correction storage location is being read.

[0030] Once SIM Manager 202 has received the result at 215, the handle is released so other processes calling SIM Manager 202 can continue, and the result is returned to the calling application at 216. The internal RIL handle is deinitialized and any memory used by SIM Manager 202 is freed by calling the SimDeinitialize API, as follows:

HRESULT SimDeinitialize (HSIM hSim)

[0031] Generally speaking, the other APIs of the present invention follow the same logical flow as in this example. That is, the other APIs of the present invention first obtain a global event handle, call an RIL API or multiple RIL APIs, then return.

[0032] While the present invention has been described in connection with the illustrated embodiments, it will be appreciated and understood that modifications may be made without departing from the true spirit and scope of the invention.

Claims

1. A SIM Manager for accessing functionality contained within a GSM-type telephone SIM, the SIM Manager comprising:

a first dynamic link library receiving a function call for performing a selected function from an application operating in a GSM-type telephone device; and

a second dynamic link library containing at least one application programming interface corresponding to the selected function, each application programming interface corresponding to the selected function linking to a radio interface

layer of the GSM-type telephone device for performing the selected function, the second dynamic link library being loaded by the first dynamic link library when the first dynamic link library receives the function call, the second dynamic link library initiating a process thread corresponding to the received function call and blocking all subsequently received calls until the received function call for the selected function is complete.

2. The SIM Manager according to claim 1, wherein the first dynamic link library is a stub dynamic link library.
3. The SIM Manager according to claim 1, wherein the SIM contains a phonebook having at least one entry, and
wherein the SIM Manager sends a notification to at least one selected application when an entry in the SIM phonebook changes.
4. The SIM Manager according to claim 1, wherein the SIM Manager sends a notification to at least one selected application when a message contained in the GSM-type telephone SIM changes.
5. The SIM Manager according to claim 1, wherein the SIM Manager sends a notification to at least one selected application when a message is received by the GSM-type telephone SIM.
6. The SIM Manager according to claim 1, wherein when the first dynamic link library receives a function call from the application for performing a notification function, the second dynamic link library initiates a second process thread so that the calling application does not block other applications running on the GSM-type telephone device.
7. The SIM Manager according to claim 6, wherein the SIM Manager receives a notification directly from the radio interface layer.
8. The SIM Manager according to claim 6, wherein the SIM Manager receives a notification from a SIM toolkit process.
9. The SIM Manager according to claim 1, wherein the received function call is for performing an initialization of a SIM card, and
wherein when the first dynamic link library receives the function call for performing the initialization function, the first dynamic link library sends an initialization call to the second dynamic link library for initializing the SIM card.
10. The SIM Manager according to claim 9, wherein

when the second dynamic link library initializes the SIM card, the second dynamic link library obtains an HRIL handle for making subsequent calls to the RIL.

11. The SIM Manager according to claim 10, wherein the initialization function creates a globally-named event handle for synchronizing calls from a plurality of applications operating in the GSM-type telephone device to the SIM Manager.
12. The SIM Manager according to claim 1, wherein when the first dynamic link library receives the function call for performing the selected function, the second dynamic link library attempts to obtain a globally named event handle that was created during an initialization process of a SIM card.
13. The SIM Manager according to claim 12, wherein when the function call for performing the selected function is complete, the second dynamic link library releases the globally-named event handle.
14. A method for communicating between an application layer within a GSM-type telephone device and a radio interface layer (RIL) within the GSM-type telephone device, the method comprising steps of:
receiving a function call for performing a selected function at a first dynamic link library from an application operating in the GSM-type telephone device;
loading a second dynamic link library when the function call is received;
initiating a process thread corresponding to the received function call;
blocking all subsequently received function calls at the second dynamic link library until the received function call for the selected function is complete; and
issuing a function call to a second dynamic link library corresponding to the selected function, the second dynamic link library containing at least one application programming interface corresponding to the selected function, each application programming interface corresponding to the selected function linking to a radio interface layer of the GSM-type telephone device for performing the selected function.
15. The method according to claim 14, wherein the first dynamic link library is a stub dynamic link library.
16. The method according to claim 14, wherein the RIL includes a SIM containing a phonebook having at least one entry, and
the method further comprising a step of sending a notification to at least one selected application

when an entry in the SIM phonebook changes.

17. The method according to claim 14, further comprising a step of sending a notification to at least one selected application when a message contained in the GSM-type telephone SIM changes. 5
18. The method according to claim 14, further comprising a step of sending a notification to at least one selected application when a message is received by the GSM-type telephone SIM. 10
19. The method according to claim 14, further comprising a step of initiating a second process thread by the second dynamic link library so that the calling application does not block other applications running on the GSM-type telephone device when the first dynamic link library receives a function call from the application for performing a notification function. 15
20. The method according to claim 19, further comprising a step of receiving a notification directly from the radio interface layer. 20
21. The method according to claim 19, further comprising a step of receiving a notification from a SIM toolkit process. 25
22. The method according to claim 14, wherein the received function call is for performing an initialization of a SIM card. 30
the method further comprising a step of sending an initialization call to the second dynamic link library for initializing the SIM card when the first dynamic link library receives the function call for performing the initialization function. 35
23. The method according to claim 22, further comprising a step of obtaining an HRIL handle for making subsequent calls to the RIL when the second dynamic link library initializes the SIM card. 40
24. The method according to claim 23, wherein the initialization function creates a globally-named event handle for synchronizing function calls from a plurality of applications operating in the GSM-type telephone device. 45
25. The method according to claim 14, further comprising a step of attempting to obtain a globally-named event handle that was created during an initialization process of a SIM card when the first dynamic link library receives the function call for performing the selected function. 50
26. The method according to claim 25, further comprising a step of releasing the globally-named event handle the received function call for performing the 55

selected function is complete.

27. A computer-readable medium having computer-executable instructions for performing steps comprising:
 - receiving a function call for performing a selected function at a first dynamic link library from an application operating in the GSM-type telephone device;
 - loading a second dynamic link library when the function call is received;
 - initiating a process thread corresponding to the received function call;
 - blocking all subsequently received function calls at the second dynamic link library until the received function call for the selected function is complete; and
 - issuing a function call to a second dynamic link library corresponding to the selected function, the second dynamic link library containing at least one application programming interface corresponding to the selected function, each application programming interface corresponding to the selected function linking to a radio interface layer of the GSM-type telephone device for performing the selected function.
28. The computer-readable medium according to claim 27, wherein the first dynamic link library is a stub dynamic link library.
29. The computer-readable medium according to claim 27, wherein the RIL includes a SIM containing a phonebook having at least one entry, and the computer-executable instructions further comprising a step of sending a notification to at least one selected application when an entry in the SIM phonebook changes.
30. The computer-readable medium according to claim 27, wherein the SIM Manager sends a notification to at least one selected application when a message contained in the GSM-type telephone SIM changes.
31. The computer-readable medium according to claim 27, wherein the SIM Manager sends a notification to at least one selected application when a message is received by the GSM-type telephone SIM.
32. The computer-readable medium according to claim 27, further comprising a step of initiating a second process thread by the second dynamic link library so that the calling application does not block other applications running on the GSM-type telephone device when the first dynamic link library receives a function call from the application for performing a

notification function.

33. The computer-readable medium according to claim 32, further comprising a step of receiving a notification directly from the radio interface layer.

5

34. The computer-readable medium according to claim 32, further comprising a step of receiving a notification from a SIM toolkit process.

10

35. The computer-readable medium according to claim 27, wherein the received function call is for performing an initialization of a SIM card,

the method further comprising a step of sending an initialization call to the second dynamic link library for initializing the SIM card when the first dynamic link library receives the function call for performing the initialization function.

15

36. The computer-readable medium according to claim 35, further comprising a step of obtaining an HRI handle for making subsequent calls to the RIL when the second dynamic link library initializes the SIM card.

20

25

37. The computer-readable medium according to claim 36, wherein the initialization function creates a globally-named event handle for synchronizing function calls from a plurality of applications operating in the GSM-type telephone device.

30

38. The computer-readable medium according to claim 27, further comprising a step of attempting to obtain a globally-named event handle that was created during an initialization process of a SIM card when the first dynamic link library receives the function call for performing the selected function.

35

39. The computer-readable medium according to claim 38, further comprising a step of releasing the globally-named event handle the received function call for performing the selected function is complete.

40

45

50

55

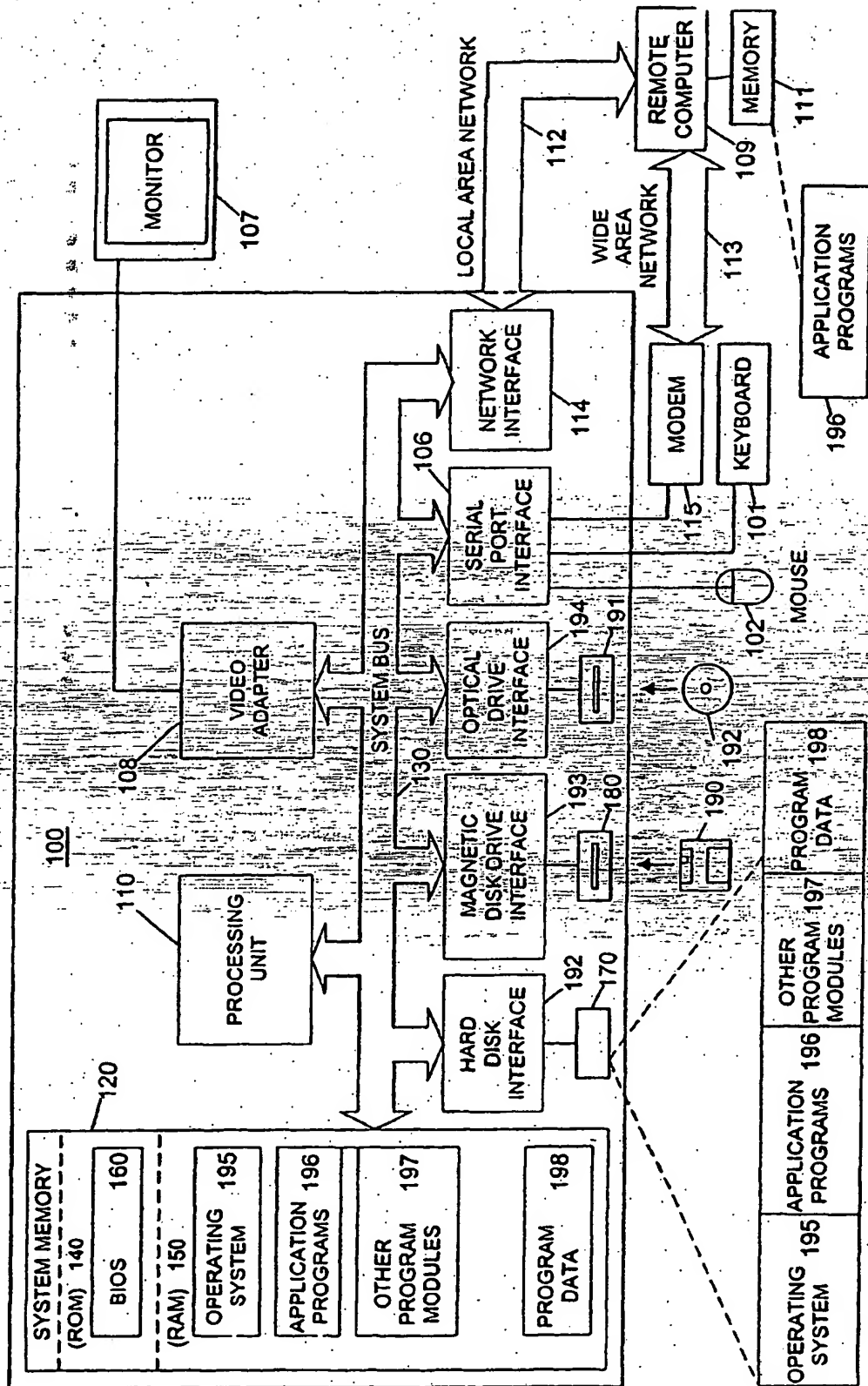


FIG. 1 (PRIOR ART)

200

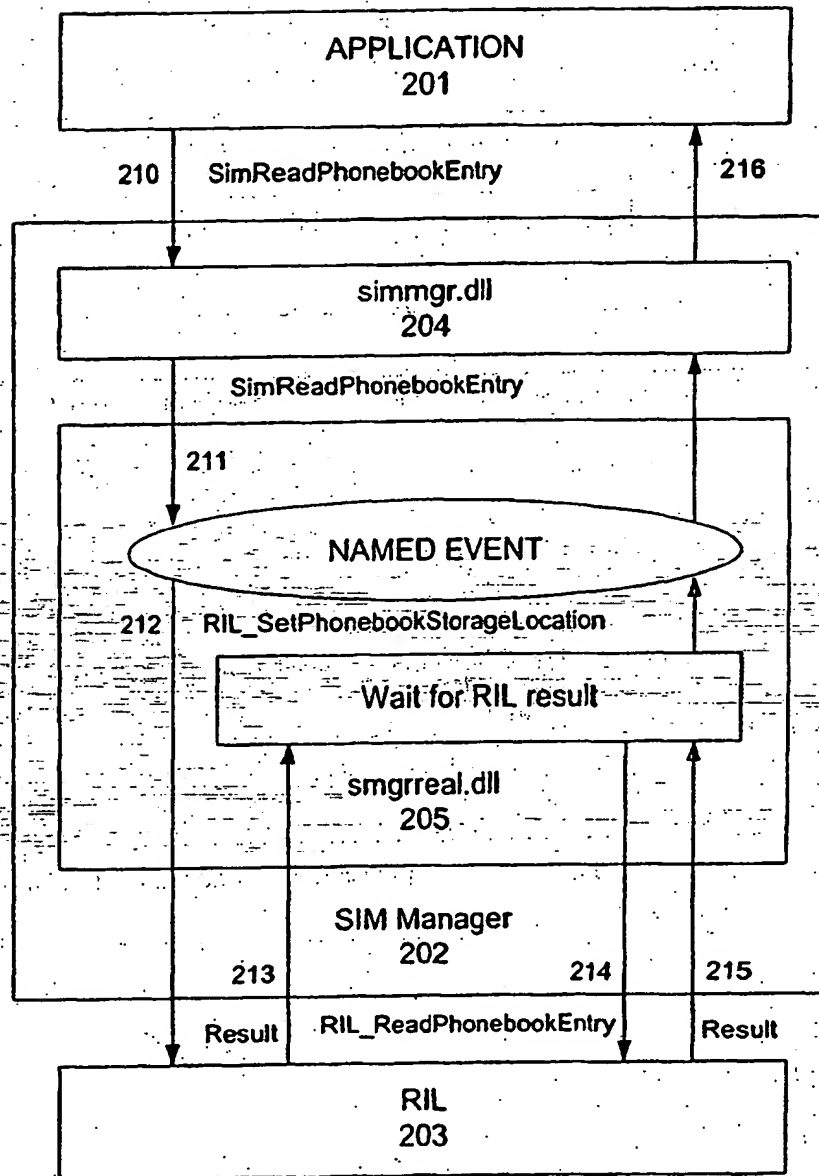


FIG. 2

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☒ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.